

Docket No. 283429US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Carole BAUBET, et al.

SERIAL NO: 10/562,451

GAU:

FILED: December 27, 2005

EXAMINER:

FOR: DIELECTRIC-LAYER-COATED SUBSTRATE AND INSTALLATION FOR PRODUCTION THEREOF

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

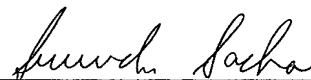
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

283429US0PCT

SERIAL NO.

10/562,451

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Carole BAUBET, et al.

FILING DATE

December 27, 2005

GROUP

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE     | NAME                   | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|--------------------|----------|------------------------|-------|--------------|-------------------------------|
|                     | AA | 5 532 062          | 07/02/96 | Masami MIYAZAKI et al. |       |              |                               |
|                     | AB | 5 770 321          | 06/23/98 | Klaus W. HARTIG et al. |       |              |                               |
|                     | AC | 5 454 919          | 10/03/95 | Roger HILL et al.      |       |              |                               |
|                     | AD | 6 190 511          | 02/20/01 | Daivd T. WEI           |       |              |                               |
|                     | AE | 6 086 727          | 07/11/00 | Mustafa PINARBASI      |       |              |                               |
|                     | AF | 6 500 676          | 12/31/02 | Randy J. RAMBERG       |       |              |                               |
|                     | AG | 5 962 080          | 10/05/99 | Minshen TAN et al.     |       |              |                               |
|                     | AH | 6 002 208          | 12/14/99 | Yuri MAISHEV et al.    |       |              |                               |
|                     | AI |                    |          |                        |       |              |                               |
|                     | AJ |                    |          |                        |       |              |                               |
|                     | AK |                    |          |                        |       |              |                               |
|                     | AL |                    |          |                        |       |              |                               |
|                     | AM |                    |          |                        |       |              |                               |
|                     | AN |                    |          |                        |       |              |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE     | COUNTRY | TRANSLATION |    |
|--|----|--------------------|----------|---------|-------------|----|
|  |    |                    |          |         | YES         | NO |
|  | AO | 601 928            | 06/15/94 | EP      |             | NO |
|  | AP | 02/46491           | 06/13/02 | WO      |             | NO |
|  | AQ |                    |          |         |             |    |
|  | AR |                    |          |         |             |    |
|  | AS |                    |          |         |             |    |
|  | AT |                    |          |         |             |    |
|  | AU |                    |          |         |             |    |
|  | AV |                    |          |         |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |   |
|--|----|---|
|  | AW | S. MITARAY, et al., "PREPARATION DE COUCHES MINCES DE Ag <sub>2</sub> O ET ACTION DE CERTAINS GAZ SUR CELLES-CI", THIN SOLID FILMS, vol. 46, no. 2, pages 201-208<br>10/17/77 |
|  | AX |   |
|  | AY |   |
|  | AZ |   |

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|   |                   |                                    |                                 |  |                                  |
|---|-------------------|------------------------------------|---------------------------------|--|----------------------------------|
|   |                   |                                    |                                 |  |                                  |
|   |                   | Docket No.: 283429US0PCT           |                                 | Serial No.: 10/562,451                 |                                  |
| LIST OF RELATED CASES CITED BY<br>APPLICANT UNDER 37 CFR 1.56   |                   | Inventor: Carole BAUBET, et al.    |                                 |  |                                  |
|   |                   | Filing Date: December 27, 2005     |                                 | Group:                                 |                                  |
| <b><u>LIST OF RELATED CASES</u></b>   |                   |                                    |                                 |  |                                  |
| Examiner<br><u>Initial</u>  | <u>Docket No.</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Patent App.<br/>Publication No.</u> | <u>Inventor or<br/>Applicant</u> |
|   | 283425US0PCT      | 10/562,121                         | 12/23/05                        |  | BAUBET, et al.                   |
|   | 283429US0PCT*     | 10/562,451                         | 12/27/05                        |  | BAUBET, et al.                   |
|   | 283486US0PCT      | 10/564,501                         | 01/13/06                        |  | GIRON, et al.                    |
|   | 283242US0PCT      | 10/563,322                         | 01/04/06                        |  | GIRON, et al.                    |
| <div style="display: flex; justify-content: space-between; margin-top: 100px;"> <span>Examiner</span> <span>Date Considered</span> </div> |                   |                                    |                                 |  |                                  |
| <div style="margin-top: 100px;"> <p>*Present Application; listed for information</p> <p>NFO/ach/kch</p> </div>                            |                   |                                    |                                 |  |                                  |

Translation of Category of Cited Documents in attached  
foreign language Search Report:

- X: particularly relevant if taken alone
  - Y: particularly relevant if combined with another  
document of the same category
  - A: technological background
  - O: non-written disclosure
  - P: intermediate document
  - T: theory or principle underlying the invention
  - E: earlier patent document, but published on, or  
after the filing date
  - D: document cited in the application
  - L: document cited for other reasons
- 
- &: member of the same patent family, corresponding  
document